# CHARACTERISTICALLY NILPOTENT LIE ALGEBRAS AND SYMPLECTIC STRUCTURES

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ABSTRACT. We study symplectic structures on characteristically nilpotent Lie algebras (CN-LAs) by computing the cohomology space  $H^2(\mathfrak{g}, k)$  for certain Lie algebras  $\mathfrak{g}$ . Among these Lie algebras are filiform CNLAs of dimension  $n \leq 14$ . It turns out that there are many examples of CNLAs which admit a symplectic structure. A generalization of a sympletic structure is an affine structure on a Lie algebra.

### 1. INTRODUCTION

Invariant symplectic structures on Lie groups and on nilmanifolds play an important role in symplectic and complex geometry. Many questions about symplectic structures on Lie groups can be reduced to problems in terms of the tangent Lie algebra. This leads to the study of Lie algebras admitting a symplectic structure. Symplectic Lie algebras (i.e., Lie algebras admitting a symplectic structure) have been classified in several cases. There is also a construction, called double extension, which yields all symplectic nilpotent Lie algebras by successive application [4]. In [10] symplectic structures on  $\mathbb{N}$ -graded filiform Lie algebras were determined. Moreover a criterion for the existence of symplectic structures on filiform Lie algebras was proposed.

In this article we study symplectic structures on *characteristically nilpotent Lie algebras* (CN-LAs). Such algebras do not admit an N-grading since all derivations of CNLAs are nilpotent. Symplectic CNLAs are interesting for many reasons. One of them is the study of Riemannian metrics compatible with a given invariant geometric structure on a nilpotent Lie group: in [9] the concept of a *minimal* left-invariant Riemannian metric on a nilpotent Lie group endowed with an invariant geometric structure is discussed. For the symplectic case, such a nice minimal metric need not always exist. In fact, there is an obstruction if the Lie algebra is symplectic and a CNLA. Symplectic Lie algebras are also special cases of Lie algebras admitting affine structures. Lie algebras with affine structures are the infinitesimal analogue of Lie groups with a left-invariant affine structure. There have been made many efforts to solve the difficult existence question of affine structures for a given Lie algebra [1], [2], [15]. From this point of view the determination of symplectic Lie algebras is also interesting. Finally, symplectic Lie algebras play a role in superconformal field theories, see for example [13].

The paper is organized as follows. In section two we introduce CNLAs and symplectic structures on Lie algebras using Lie algebra cohomology. We recall results on the cohomology groups  $H^1$  with the coadjoint module and  $H^2$  with the trivial module. We explain the relation between affine and symplectic structures. In section three we classify all complex symplectic filiform CNLAs of dimension  $n \leq 10$ . Here we do not use the classification of symplectic filiform Lie algebras [8], since there are some mistakes in it. In section four we determine certain symplectic filiform CLNAs of dimension  $n \geq 12$ .

Date: January 27, 2006.

<sup>1991</sup> Mathematics Subject Classification. Primary 17B30.

## 2. Preliminaries

Characteristically nilpotent Lie algebras were introduced by Dixmier and Lister in 1957, answering a question of Jacobson in the negative. Jacobson had asked whether any finitedimensional nilpotent Lie algebra admits a non-singular derivation. Dixmier and Lister constructed a 3-step nilpotent Lie algebra of dimension 8 possessing only nilpotent derivations [5]. Then they defined the class of of characteristically nilpotent Lie algebras (CLNAs in short) as follows:

**Definition 2.1.** Let  $\mathfrak{g}$  be a Lie algebra over a field k and  $\operatorname{Der}(\mathfrak{g})$  its derivation algebra. Let  $\mathfrak{g}^{[1]} = \operatorname{Der}(\mathfrak{g})\mathfrak{g} = \{\sum_i D_i(x_i) \mid x_i \in \mathfrak{g}, D_i \in \operatorname{Der}(\mathfrak{g})\}$  and define  $\mathfrak{g}^{[k+1]} = \operatorname{Der}(\mathfrak{g})\mathfrak{g}^{[k]}$  inductively. Then  $\mathfrak{g}$  is called a CNLA if there exists an integer n such that  $\mathfrak{g}^{[n]} = 0$ .

It is easy to see that  $\mathfrak{g}$  is a CNLA if and only if all derivations of  $\mathfrak{g}$  are nilpotent. Moreover  $\mathfrak{g}$  is a CNLA if and only if the algebra  $\text{Der}(\mathfrak{g})$  is nilpotent and  $\mathfrak{g}$  is not 1-dimensional. If k is algebraically closed then  $\mathfrak{g}$  is a CNLA if and only if all semisimple automorphisms of  $\mathfrak{g}$  are of finite order. The class of CNLAs forms an interesting subclass of nilpotent Lie algebras, which has been studied extensively later on. This class is particularly interesting for the topological analysis of the irreducible components of the variety of nilpotent Lie algebra laws. As we have mentioned, it is also of interest in the study of Riemannian metrics on nilpotent Lie groups.

Let us briefly recall the cohomology of Lie algebras. We will assume that k is a field of characteristic zero and  $\mathfrak{g}$  a Lie algebra over k. For a  $\mathfrak{g}$ -module M the space of p-cochains is defined by

$$C^{p}(\mathfrak{g}, M) = \begin{cases} \operatorname{Hom}_{K}(\Lambda^{p}\mathfrak{g}, M) & \text{if } p \geq 0, \\ 0 & \text{if } p < 0. \end{cases}$$

The standard cochain complex  $\{C^{\bullet}(\mathfrak{g}, M), d\}$  yields the space  $Z^{p}(\mathfrak{g}, M)$  of *p*-cocycles, the space  $B^{p}(\mathfrak{g}, M)$  of *p*-coboundaries and  $H^{p}(\mathfrak{g}, M) = Z^{p}(\mathfrak{g}, M)/B^{p}(\mathfrak{g}, M)$ , the *p*-th cohomology space. Let M = k denote the trivial  $\mathfrak{g}$ -module. In that case the space of 2-cocycles and 2-coboundaries is given explicitly by

$$Z^{2}(\mathfrak{g},k) = \{\omega \in \operatorname{Hom}(\Lambda^{2}\mathfrak{g},k) \mid \omega([x_{1},x_{2}] \wedge x_{3}) - \omega([x_{1},x_{3}] \wedge x_{2}) \\ + \omega([x_{2},x_{3}] \wedge x_{1}) = 0\}$$
$$B^{2}(\mathfrak{g},k) = \{\omega \in \operatorname{Hom}(\Lambda^{2}\mathfrak{g},k) \mid \omega(x_{1} \wedge x_{2}) = f([x_{1},x_{2}]) \\ \text{for some } f \in \operatorname{Hom}(\mathfrak{g},k)\}$$

**Definition 2.2.** A Lie group G is said to have a left-invariant symplectic structure if it has a left-invariant non-degenerate closed 2-form  $\omega$ .

*Example* 2.3. The Lie group  $\mathcal{H}_3 \times \mathbb{R}$ , where  $\mathbb{R}$  is the abelian Lie group (with coordinate t) and  $\mathcal{H}_3$  is the Heisenberg group consisting of all real matrices of the form

$$\begin{pmatrix} 1 & x & z \\ 0 & 1 & y \\ 0 & 0 & 1 \end{pmatrix}$$

admits a left-invariant symplectic structure given by the form

$$\omega = dx \wedge (dz - xdy) + dy \wedge dt$$

**Definition 2.4.** A Lie algebra  $\mathfrak{g}$  over k is called *symplectic* if there is an nondegenerate  $\omega \in Z^2(\mathfrak{g}, k)$ , i.e., if there exists a nondegenerate skew-symmetric bilinear form  $B: \mathfrak{g} \times \mathfrak{g} \to k$  satisfying

(1) 
$$B([x, y], z) - B(x, [y, z]) + B(y, [x, z]) = 0$$

If  $\omega_G$  is a left-invariant symplectic form on G, then  $\omega_G$  defines a symplectic structure on the Lie algebra  $\mathfrak{g}$  of G. Conversely any symplectic form  $\omega_{\mathfrak{g}}$  of  $\mathfrak{g}$  defines a left-invariant symplectic structure on G. Note that a finite-dimensional symplectic Lie algebra has even dimension.

Remark 2.5. A symplectic Lie algebra is also called a quasi-Frobenius Lie algebra. This is a natural generalization of a Frobenius Lie algebra. A Lie algebra is called Frobenius if there exists a nondegenerate  $\omega \in B^2(\mathfrak{g}, k)$ , i.e., a linear functional  $f \in \text{Hom}(\mathfrak{g}, k)$  such that B(x, y) = f([x, y])is nondegenerate. Hence Frobenius Lie algebras are symplectic. They have been studied in various contexts, see [6], [12]. Many properties are known: they have trivial center, no non-zero semisimple ideals and a non-nilpotent solvable radical, see [12]. Moreover, a Lie algebra  $\mathfrak{g}$  of a linear algebraic group G over an algebraically closed field of characteristic zero is Frobenius if and only if the universal enveloping algebra  $U(\mathfrak{g})$  is primitive, and if and only if G admits an open orbit in the coadjoint module.

Quasi-Frobenius Lie algebras have been studied in connection with rational solutions of the classical Yang-Baxter equation (CYBE) [14] (there is a correspondence between rational solutions of CYBE for a simple Lie algebra  $\mathfrak{g}$  and quasi-Frobenius subalgebras of  $\mathfrak{g}$ ). They appear also in superconformal field theories (see [13]) and related subjects.

*Example* 2.6. Clearly any abelian Lie algebra of even dimension is symplectic. In dimension 2 over the complex numbers there are two Lie algebras,  $\mathbb{C}^2$  and the non-abelian Lie algebra  $\mathfrak{r}_2(\mathbb{C})$ , which is given by  $[e_1, e_2] = e_1$  where  $(e_1, e_2)$  denotes a basis of  $\mathbb{C}^2$ . The algebra  $\mathfrak{r}_2(\mathbb{C})$  is Frobenius, and hence symplectic.

*Example* 2.7. Let  $\mathfrak{n}_4$  be the 4-dimensional nilpotent Lie algebra with basis  $(e_1, e_2, e_3, e_4)$  defined by the brackets

$$[e_1, e_2] = e_3, \ [e_1, e_3] = e_4$$

Clearly this Lie algebra is not Frobenius since it has a non-trivial center. It is easy to see that the space  $H^2(\mathfrak{n}_4, k)$  is spanned by the classes of  $\omega_1$  and  $\omega_2$  which are defined by

$$\omega_1(e_1 \wedge e_4) = 1$$
$$\omega_2(e_2 \wedge e_3) = 1$$

With respect to the given basis, the matrix of  $\omega_1 + \omega_2$  is given by

$$\begin{pmatrix} 0 & 0 & 0 & 1 \\ 0 & 0 & 1 & 0 \\ 0 & -1 & 0 & 0 \\ -1 & 0 & 0 & 0 \end{pmatrix}$$

Since  $\omega_1 + \omega_2$  is nondegenerate,  $\mathfrak{n}_4$  is quasi-Frobenius, or symplectic.

There is a large literature on symplectic Lie algebras. In [4] it was shown that all symplectic nilpotent Lie algebras can be obtained by a consecutive procedure, called double extensions, starting with the Lie algebra  $\{0\}$ . The classification of complex symplectic filiform Lie algebras of dimension  $n \leq 10$  up to symplecto-isomorphism was given in [8]. (However, it is known that there are some mistakes in it. The reader may compare the results with our results in the

next section.) Also, the classification of all symplectic Lie algebras in dimension  $n \leq 4$  is well known. Let us recall the result for n = 4 over the complex numbers.

**Proposition 2.8.** Any 4-dimensional complex quasi-Frobenius Lie algebra is isomorphic to one and only one Lie algebra of the following list:

g	Defining Lie brackets
$\mathbb{C}^4$	_
$\mathfrak{n}_3(\mathbb{C})\oplus\mathbb{C}$	$[e_1, e_2] = e_3$
$\mathfrak{r}_2(\mathbb{C})\oplus\mathbb{C}^2$	$[e_1, e_2] = e_1$
$\mathfrak{r}_{3,-1}(\mathbb{C})\oplus\mathbb{C}$	$[e_1, e_2] = e_2, [e_1, e_3] = -e_3$
$\mathfrak{r}_2(\mathbb{C})\oplus\mathfrak{r}_2(\mathbb{C})$	$[e_1, e_2] = e_1, [e_3, e_4] = e_3$
$\mathfrak{n}_4(\mathbb{C})$	$[e_1, e_2] = e_3, [e_1, e_3] = e_4$
$\mathfrak{g}_1(-1)$	$[e_1, e_2] = e_2, [e_1, e_3] = e_3, [e_1, e_4] = -e_4$
$\mathfrak{g}_2(lpha,lpha)$	$[e_1, e_2] = e_3, [e_1, e_3] = e_4, [e_1, e_4] = \alpha e_2 - \alpha e_3 + e_4$
$\mathfrak{g}_6$	$[e_1, e_2] = e_2, [e_1, e_3] = e_3, [e_1, e_4] = 2e_4, [e_2, e_3] = e_4$
$\mathfrak{g}_8(lpha)$	$[e_1, e_2] = e_3, [e_1, e_3] = -\alpha e_2 + e_3, [e_1, e_4] = e_4, [e_2, e_3] = e_4$

*Proof.* Using the classification of 4-dimensional Lie algebras given in [3] we determine the spaces  $Z^2(\mathfrak{g}, \mathbb{C})$ . The result follows by computing the determinants. We want to demonstrate the details by taking one example, the Lie algebra  $\mathfrak{g} = \mathfrak{r}_{3,\lambda} \oplus \mathbb{C}$ . It is defined by the brackets  $[e_1, e_2] = e_2, [e_1, e_3] = \lambda e_3$  where  $\lambda \in \mathbb{C}, 0 < |\lambda| \leq 1$ . The space  $Z^2(\mathfrak{g}, \mathbb{C})$  is represented by the subspace of matrices of the form

$$\begin{pmatrix} 0 & \alpha & \beta & \gamma \\ -\alpha & 0 & \delta & 0 \\ -\beta & -\delta & 0 & 0 \\ -\gamma & 0 & 0 & 0 \end{pmatrix}$$

with determinant  $(\gamma \delta)^2$  and the condition  $(\lambda + 1)\delta = 0$ . Hence  $\mathfrak{g}$  is symplectic if and only if  $\lambda = -1$ . Note that the Lie algebra  $\mathfrak{sl}_2(\mathbb{C}) \oplus \mathbb{C}$  is not symplectic. It follows that all 4-dimensional symplectic Lie algebras are solvable.

A further generalization of a symplectic Lie algebra is a Lie algebra admitting an affine structure.

**Definition 2.9.** A vector space A over k together with a k-bilinear product  $A \times A \to A$ ,  $(x, y) \mapsto x \cdot y$  is called *left-symmetric algebra* or LSA, if

(2) 
$$x \cdot (y \cdot z) - (x \cdot y) \cdot z = y \cdot (x \cdot z) - (y \cdot x) \cdot z$$

for all  $x, y, z \in A$ .

The left-multiplication L in A is given by  $L(x)y = x \cdot y$ .

**Definition 2.10.** An *affine structure* on a Lie algebra  $\mathfrak{g}$  over k is a k-bilinear product  $\mathfrak{g} \times \mathfrak{g} \to \mathfrak{g}$  satisfying (2) and

$$[x,y] = x \cdot y - y \cdot x$$

for all  $x, y, z \in \mathfrak{g}$ . A Lie algebra over k admitting an affine structure is also called *affine*.

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The term affine Lie algebra is also used differently in the literature. Note that we have two different bilinear products in the above definition: the Lie bracket and the dot product.

The conditions may be reformulated as follows: there exists a bilinear product  $x \cdot y$  on  $\mathfrak{g} \times \mathfrak{g}$ which defines a  $\mathfrak{g}$ -module structure on  $\mathfrak{g}$  itself, denoted by  $\mathfrak{g}_L$ , such that the identity mapping  $\iota : \mathfrak{g} \to \mathfrak{g}_L$  is a 1-cocycle in  $Z^1(\mathfrak{g}, \mathfrak{g}_L)$ . In other words, (2) and (3) are equivalent to the following identities:

$$[x, y] \cdot z = x \cdot (y \cdot z) - y \cdot (x \cdot z)$$
$$\iota([x, y]) = x \cdot \iota(y) - y \cdot \iota(x)$$

In general it is very difficult for a given Lie algebra to decide whether it is affine or not. It is well known that a Lie algebra  $\mathfrak{g}$  over charactristic zero satisfying  $\mathfrak{g} = [\mathfrak{g}, \mathfrak{g}]$  is not affine. Hence the existence problem mainly arises for solvable Lie algebras. In low dimensions this problem has a positive solution. All complex Lie algebras of dimension  $n \leq 4$  are affine except for  $\mathfrak{sl}_2(\mathbb{C})$ , and all complex nilpotent Lie algebras of dimension  $n \leq 7$  are affine. There exist already examples of nilpotent Lie algebras of dimension 10 which are not affine, see [2]. Geometrically this means that there are nilmanifolds which are not affine.

**Lemma 2.11.** A Lie algebra  $\mathfrak{g}$  is affine if and only if there exists a  $\mathfrak{g}$ -module structure M on the vector space of  $\mathfrak{g}$  such that there is a linear map  $\varphi \in Z^1(\mathfrak{g}, M)$  satisfying det  $\varphi \neq 0$ .

*Proof.* Let  $\varphi$  be a nonsingular 1-cocycle in  $Z^1(\mathfrak{g}, M)$  and denote the action of  $\mathfrak{g}$  on M by  $(x, m) \mapsto x \bullet m$ . Then define a bilinear product on  $\mathfrak{g}$  by

$$x \cdot y := \varphi^{-1}(x \bullet \varphi(y))$$

This product is left-symmetric, since it defines a  $\mathfrak{g}$ -module structure on  $\mathfrak{g}$ , obtained by conjugation with  $\varphi$  from M, satisfying  $x \cdot y - y \cdot x = \varphi^{-1}(x \cdot \varphi(y) - y \cdot \varphi(x)) = \varphi^{-1}(\varphi([x, y])) = [x, y]$ . Conversely, an affine structure on  $\mathfrak{g}$  yields a nonsingular 1-cocycle  $\iota \in Z^1(\mathfrak{g}, \mathfrak{g}_L)$ .

Denote by  $\mathfrak{g}$  the adjoint module and by  $\mathfrak{g}^* = \operatorname{Hom}(\mathfrak{g}, k)$  the coadjoint module of  $\mathfrak{g}$ . The coadjoint action is given by  $(x, f) \mapsto x \bullet f$  where  $(x \bullet f)y = -f([x, y])$  for  $x \in \mathfrak{g}$  and  $f \in \mathfrak{g}^*$ . A derivation  $D \in \operatorname{Der}(\mathfrak{g})$  is just a 1-cocycle in  $Z^1(\mathfrak{g}, \mathfrak{g})$ . The following two corollaries are easily derived from lemma 2.11:

**Corollary 2.12.** Any Lie algebra  $\mathfrak{g}$  admitting a nonsingular  $D \in \text{Der}(\mathfrak{g})$  is affine.

**Corollary 2.13.** Any Lie algebra  $\mathfrak{g}$  admitting a nonsingular  $\varphi \in Z^1(\mathfrak{g}, \mathfrak{g}^*)$  is affine.

For the cohomology with coefficients in the dual module we have the following well-known result.

**Proposition 2.14.**  $H^2(\mathfrak{g}, k)$  may be regarded as a subspace of  $H^1(\mathfrak{g}, \mathfrak{g}^*)$ . If  $\mathfrak{g}$  does not have a non-zero invariant bilinear form then  $H^2(\mathfrak{g}, k) \simeq H^1(\mathfrak{g}, \mathfrak{g}^*)$ .

Proof. The space  $Z^1(\mathfrak{g}, \mathfrak{g}^*)$  may be interpreted as the space of bilinear forms  $B : \mathfrak{g} \times \mathfrak{g} \to k$ satisfying condition (1), i.e., B([x, y], z) - B(x, [y, z]) + B(y, [x, z]) = 0. Indeed, if  $\varphi \in Z^1(\mathfrak{g}, \mathfrak{g}^*)$ , then define B by  $B(x, y) = \varphi(x)y$ . The condition  $\varphi([x, y]) = x \cdot \varphi(y) - y \cdot \varphi(x)$  is just equivalent to the condition (1) on B. Conversely, given a B which sastifies identity (1),  $\varphi$  defined by  $\varphi(x)y = B(x, y)$  will be a 1-cocycle in  $Z^1(\mathfrak{g}, \mathfrak{g}^*)$ . Now the subspace formed by those B which are skew-symmetric, i.e., satisfy B(x, y) = -B(y, x), corresponds exactly to the space  $Z^2(\mathfrak{g}, k)$ . Since obviously  $B^2(\mathfrak{g}, k) \simeq B^1(\mathfrak{g}, \mathfrak{g}^*)$ ,  $H^2(\mathfrak{g}, k)$  becomes a subspace of  $H^1(\mathfrak{g}, \mathfrak{g}^*)$ . To prove the second claim, let B be a bilinear form in  $Z^1(\mathfrak{g}, \mathfrak{g}^*)$  and define  $B^*$  by  $B^*(x, y) = B(y, x)$ .

Then  $\beta = B + B^*$  is an invariant bilinear form on  $\mathfrak{g}$ , i.e., satisfies  $\beta([x, y], z) = \beta(x, [y, z])$ . Assume that  $\mathfrak{g}$  does not have a non-zero invariant bilinear form. Then  $\beta$  is zero, hence B is skew-symmetric and contained in  $Z^2(\mathfrak{g}, k)$ .

We obtain the following corollary.

**Corollary 2.15.** Any symplectic Lie algebra is affine.

*Proof.* Let  $\omega \in Z^2(\mathfrak{g}, k)$  be nondegenerate. Then by Proposition 2.14,  $\varphi$  defined by  $\varphi(x)y = \omega(x \wedge y)$  is a nonsingular 1-cocycle in  $Z^1(\mathfrak{g}, \mathfrak{g}^*)$  since  $\ker(\varphi) = \{x \in \mathfrak{g} \mid \omega(x \wedge y) = 0 \text{ for all } y \in \mathfrak{g}\} = 0$ . The claim follows from Corollary 2.13.

The corollary is well known. A different proof can be found in [8]. Clearly an affine Lie algebra need not be symplectic, since there exist affine Lie algebras of odd dimension. There are also affine Lie algebras of even dimension which are not symplectic (see the example below). Although Lie algebras of odd dimension admit no nonsingular  $\omega \in Z^2(\mathfrak{g}, k)$ , there may be a nonsingular  $\varphi \in Z^1(\mathfrak{g}, \mathfrak{g}^*)$ . Easy examples are the Heisenberg Lie algebra or a filiform Lie algebra of dimension 5. Hence there exist nilpotent Lie algebras with a non-zero invariant bilinear form.

*Example* 2.16. Let  $\mathfrak{g}$  be the 6-dimensional nilpotent Lie algebra with basis  $(e_1, \ldots, e_6)$  defined by the brackets

$$[e_1, e_i] = e_{i+1}, \ 2 \le i \le 5$$
$$[e_2, e_5] = -e_6$$
$$[e_3, e_4] = e_6$$

Then  $H^2(\mathfrak{g}, k)$  is spanned by the classes of  $\omega_1$  and  $\omega_2$ , which are defined by

$$\omega_1(e_2 \wedge e_3) = 1$$
  
 $\omega_2(e_2 \wedge e_5) = 1, \ \omega_2(e_3 \wedge e_4) = -1$ 

For  $\omega \in Z^2(\mathfrak{g}, k)$  define  $\varphi_{\omega}$  by  $\varphi_{\omega}(x)y = \omega(x \wedge y)$ . If  $z \in Z(\mathfrak{g})$ , then  $\varphi_{\omega}(z) = 0$  for any  $\omega \in B^2(\mathfrak{g}, k)$ . In our case  $Z(\mathfrak{g})$  is spanned by  $e_6$ , and any linear combination  $\omega$  of  $\omega_1$  and  $\omega_2$  satisfies  $\varphi_{\omega}(e_6) = 0$ . Hence there is no nondegenerate  $\omega \in Z^2(\mathfrak{g}, k)$  and the Lie algebra is not symplectic. Nevertheless  $\mathfrak{g}$  admits an affine structure induced by a nonsingular derivation. In fact, it is easy to see that the linear map  $d : \mathfrak{g} \to \mathfrak{g}$  given by  $d(e_i) = ie_i, i = 1, \ldots, 5$  and  $d(e_6) = 7e_6$  defines a nonsingular derivation.

## 3. Symplectic filiform CNLAs of dimension n < 12

In this section we classify characteristically nilpotent symplectic filiform Lie algebras of dimension n < 12. There is a classification of complex symplectic filiform Lie algebras of dimension n < 12 up to symplecto-isomorphism [8]. However, there are some mistakes in it; see also the remark in [15]. We use a different method which does not rely on the explicit classification: in [1] we have computed the cohomology space  $H^2(\mathfrak{g}, k)$  for filiform nilpotent Lie algebras. Consequently we can use the knowledge of  $Z^2(\mathfrak{g}, k)$  to determine symplectic filiform Lie algebras.

**Definition 3.1.** Let  $\mathfrak{g}$  be a nilpotent Lie algebra and  $\{\mathfrak{g}^k\}$  its lower central series defined by  $\mathfrak{g}^0 = \mathfrak{g}, \ \mathfrak{g}^k = [\mathfrak{g}^{k-1}, \mathfrak{g}]$  for  $k \ge 1$ . There exists an integer p such that  $\mathfrak{g}^p = 0$  and  $\mathfrak{g}^{p-1} \ne 0$ , called nilindex of  $\mathfrak{g}$ . A nilpotent Lie algebra of dimension n and nilindex p = n - 1 is called *filiform*.

We divide the set  $\mathcal{A}_n$  of filiform Lie algebra laws of dimension n into subsets  $\mathcal{A}_{n,i}$  such that algebras from different subsets are non-isomorphic (but may be isomorphic if they belong to the same subset), and algebras belonging to the same subset have the same second scalar cohomology. If  $\mathfrak{g}$  is a filiform Lie algebra of dimension n, then there exists an adapted basis  $(e_1, \ldots, e_n)$  for  $\mathfrak{g}$ , see [1]. We write  $\mathcal{A}_n$  for the set of elements which are the structure constants of a filiform Lie algebra with respect to an adapted basis. The brackets of such a filiform Lie algebra with respect to the basis  $(e_1, \ldots, e_n)$  are then given by

(4) 
$$[e_1, e_i] = e_{i+1}, \quad i = 2, \dots, n-1$$

(5) 
$$[e_i, e_j] = \sum_{r=1}^n \left( \sum_{\ell=0}^{[(j-i-1)/2]} (-1)^\ell \binom{j-i-\ell-1}{\ell} \alpha_{i+\ell, r-j+i+2\ell+1} \right) e_r, \quad 2 \le i < j \le n.$$

with constants  $\alpha_{k,s}$  which are zero for all pairs (k, s) not in the index set  $\mathcal{I}_n$ . Here  $\mathcal{I}_n$  is given by

$$\begin{split} \mathcal{I}_n^0 &= \{(k,s) \in \mathbb{N} \times \mathbb{N} \mid 2 \leq k \leq [n/2], \, 2k+1 \leq s \leq n\}, \\ \mathcal{I}_n &= \begin{cases} \mathcal{I}_n^0 & \text{if } n \text{ is odd}, \\ \mathcal{I}_n^0 \cup \{(\frac{n}{2}, n)\} & \text{if } n \text{ is even.} \end{cases} \end{split}$$

Let  $f = 3\alpha_{4,10}(\alpha_{2,6} + \alpha_{3,8}) - 4\alpha_{3,8}^2$ . The above mentioned subsets  $\mathcal{A}_{n,i}$  are given as follows, for n = 4, 6, 8, 10:

Class	Conditions
$\mathcal{A}_{4,1}$	_
$\mathcal{A}_{6,1}$	$lpha_{3,6}  eq 0$
$\mathcal{A}_{6,2}$	$\alpha_{3,6} = 0$
$\mathcal{A}_{8,1}$	$\alpha_{4,8} \neq 0, \ 2\alpha_{2,5} + \alpha_{3,7} = 0$
$\mathcal{A}_{8,2}$	$\alpha_{4,8} = 0, \ 2\alpha_{2,5} + \alpha_{3,7} \neq 0$
$\mathcal{A}_{8,3}$	$\alpha_{4,8} = 0, \ 2\alpha_{2,5} + \alpha_{3,7} = 0, \ \alpha_{2,5} \neq 0$
$\mathcal{A}_{8,4}$	$\alpha_{2,5} = \alpha_{3,7} = \alpha_{4,8} = 0$
$\mathcal{A}_{10,1}$	$\alpha_{5,10} \neq 0, \ 2\alpha_{2,5} + \alpha_{3,7} \neq 0$
$\mathcal{A}_{10,2}$	$\alpha_{5,10} \neq 0, \ 2\alpha_{2,5} + \alpha_{3,7} = 0$
$\mathcal{A}_{10,3}$	$\alpha_{5,10} = 0, \ 2\alpha_{2,5} + \alpha_{3,7} \neq 0, \ \alpha_{3,7}^2 \neq \alpha_{2,5}^2$
$\mathcal{A}_{10,4}$	$\alpha_{5,10} = 0, \ 2\alpha_{2,5} + \alpha_{3,7} \neq 0, \ \alpha_{3,7}^2 = \alpha_{2,5}^2$
$\mathcal{A}_{10,5}$	$\alpha_{5,10} = 0, \ 2\alpha_{2,5} + \alpha_{3,7} = 0, \ \alpha_{4,9} \neq 0, \ \alpha_{2,6}^2 + 2\alpha_{2,7}\alpha_{4,9} \neq 0$
$\mathcal{A}_{10,6}$	$\alpha_{5,10} = 0, \ 2\alpha_{2,5} + \alpha_{3,7} = 0, \ \alpha_{4,9} \neq 0, \ \alpha_{2,6}^2 + 2\alpha_{2,7}\alpha_{4,9} = 0$
$\mathcal{A}_{10,7}$	$\alpha_{5,10} = 0, \ 2\alpha_{2,5} + \alpha_{3,7} = 0, \ \alpha_{4,9} = 0, \ 2\alpha_{2,7} + \alpha_{3,9} \neq 0$
$\mathcal{A}_{10,8}$	$\alpha_{5,10} = 0, \ 2\alpha_{2,5} + \alpha_{3,7} = 0, \ \alpha_{4,9} = 0, \ 2\alpha_{2,7} + \alpha_{3,9} = 0, \ f \neq 0$
$\mathcal{A}_{10,9}$	$\alpha_{5,10} = 0, \ 2\alpha_{2,5} + \alpha_{3,7} = 0, \ \alpha_{4,9} = 0, \ 2\alpha_{2,7} + \alpha_{3,9} = 0, \ f = 0$

**Definition 3.2.** Let  $\mathfrak{g}$  be a filiform Lie algebra and  $(e_1, \ldots, e_n)$  be an adapted basis of  $\mathfrak{g}$ . Define  $\omega_{\ell} \in \operatorname{Hom}(\Lambda^2 \mathfrak{g}, k)$  by

(6) 
$$\omega_{\ell}(e_k \wedge e_{2\ell+3-k}) = (-1)^k \text{ for } 1 \le \ell \le [(n-1)/2], \ 2 \le k \le [(2\ell+3)/2]$$

where the values not defined (and which are not a consequence of skew-symmetry) are understood to be zero.

In general, the  $\omega_{\ell}$  need not be cocycles for  $\ell \geq 3$ . On the other hand we know the following [1]:

**Lemma 3.3.** Let  $\mathfrak{g}$  be filiform of dimension  $n \geq 5$ . Then  $\omega_1, \omega_2 \in Z^2(\mathfrak{g}, k)$ . Any 2-coboundary  $\beta \in B^2(\mathfrak{g}, k)$  is degenerate. If  $\ell < [(n-1)/2]$ , then  $\omega_\ell$  is degenerate.

In fact, for all  $x \in \mathfrak{g}$  and  $z \in Z(\mathfrak{g})$  we have  $\beta(x \wedge z) = f([x, z]) = 0$  for some linear form  $f \in \operatorname{Hom}(\mathfrak{g}, k)$ . Recall that the center  $Z(\mathfrak{g})$  is 1-dimensional. Likewise  $\omega_{\ell}$  is zero on  $\mathfrak{g} \wedge Z(\mathfrak{g})$  for  $\ell < [(n-1)/2]$ .

Let n = 4: the cohomology does not depend on the structure constants. Over the complex numbers there is only one filiform Lie algebra, namely  $\mathfrak{g} = \mathfrak{n}_4(\mathbb{C})$ . It is not a CNLA. The result is as follows, see example (2.7):

### **Proposition 3.4.** We have

$$H^2(\mathfrak{n}_4,\mathbb{C})=\operatorname{span}\{[\omega_1],[\omega]\}$$

where the 2-cocycles are defined by  $\omega_1(e_2 \wedge e_3) = 1$  and  $\omega(e_1 \wedge e_4) = 1$ . Since  $\omega + \omega_1$  is nondegenerate,  $\mathfrak{n}_4$  is symplectic.

Let n = 6. Denote by  $\lambda \in \mathcal{A}_6$  the law of  $\mathfrak{g}$ . It is well known that all such  $\lambda$  are  $\mathbb{N}$ -graded. Hence they are not CNLAs.

**Proposition 3.5.** In dimension 6 we have

$$H^{2}(\mathfrak{g},k) = \begin{cases} \operatorname{span}\{[\omega_{1}], [\omega_{2}]\} & \text{if } \lambda \in \mathcal{A}_{6,1} \\ \operatorname{span}\{[\omega_{1}], [\omega_{2}], [\omega]\} & \text{if } \lambda \in \mathcal{A}_{6,2} \end{cases}$$

If  $\lambda \in \mathcal{A}_{6,1}$  then  $\mathfrak{g}$  is not symplectic. If  $\lambda \in \mathcal{A}_{6,2}$  then  $\mathfrak{g}$  is symplectic.

*Proof.* The 2-cocycles  $\omega_1, \omega_2$  are defined as in (6), and  $\omega$  is defined by

$$\omega(e_1 \wedge e_6) = 1$$
  

$$\omega(e_3 \wedge e_4) = \alpha_{2,5}$$
  

$$\omega(e_2 \wedge e_4) = \alpha_{2,6}$$

Computing the determinant we obtain

$$\det(r\omega_2 + s\omega) = (r - s\alpha_{2,5})^2 r^2 s^2$$

which is non-zero for a suitable choice of the constants r and s. Hence all  $\lambda \in \mathcal{A}_{6,2}$  are symplectic.

Using the classification list and the notation of [7] over  $\mathbb{C}$  we obtain:

**Corollary 3.6.** Every 6-dimensional complex filiform symplectic Lie algebra is isomorphic to one of the following:

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$$\mu_6^1 \colon \mu_0$$
  
$$\mu_6^2 \colon \mu_0 + \psi_{2,5}$$
  
$$\mu_6^3 \colon \mu_0 + \psi_{2,6}$$

Note that our  $\psi_{i,j}$  correspond to  $\Psi_{i-1,j-1}$  in [7]. For example, the brackets of  $\mu_6^2$  are given by (4), (5) with  $\alpha_{2,5} = 1, \alpha_{2,6} = 0, \alpha_{3,6} = 0$ .

Let n = 8. Denote by  $\lambda \in \mathcal{A}_8$  the law of  $\mathfrak{g}$ .

Proposition 3.7. We have

$$H^{2}(\mathfrak{g},k) = \begin{cases} \operatorname{span}\{[\omega_{1}], [\omega_{2}], [\omega_{3}]\} & \text{if } \lambda \in \mathcal{A}_{8,1} \text{ or } \lambda \in \mathcal{A}_{8,2} \\ \operatorname{span}\{[\omega_{1}], [\omega_{2}], [\omega]\} & \text{if } \lambda \in \mathcal{A}_{8,2} \\ \operatorname{span}\{[\omega_{1}], [\omega_{2}], [\omega_{3}], [\beta]\} & \text{if } \lambda \in \mathcal{A}_{8,4} \end{cases}$$

where  $\omega$  is defined by

$$\begin{split} \omega(e_1 \wedge e_8) &= 1, \\ \omega(e_2 \wedge e_4) &= \alpha_{2,8}, \quad \omega(e_2 \wedge e_6) = \alpha_{2,6} - 2\alpha_{3,8}, \quad \omega(e_2 \wedge e_7) = \frac{\alpha_{2,5}(2\alpha_{2,5} - 5\alpha_{3,7})}{2\alpha_{2,5} + \alpha_{3,7}}, \\ \omega(e_3 \wedge e_4) &= \alpha_{3,7}, \quad \omega(e_3 \wedge e_5) = \alpha_{3,8}, \quad \omega(e_3 \wedge e_6) = \frac{2\alpha_{3,7}(\alpha_{2,5} - \alpha_{3,7})}{2\alpha_{2,5} + \alpha_{3,7}}, \\ \omega(e_4 \wedge e_5) &= \frac{3\alpha_{3,7}^2}{2\alpha_{2,5} + \alpha_{3,7}} \end{split}$$

and  $\beta$  is defined by

$$\beta(e_1 \wedge e_8) = 1$$
  

$$\beta(e_2 \wedge e_4) = \alpha_{2,8}, \ \beta(e_2 \wedge e_6) = \alpha_{2,6} - 2\alpha_{3,8}, \ \beta(e_2 \wedge e_7) = 1$$
  

$$\beta(e_3 \wedge e_4) = \alpha_{3,7}, \ \beta(e_3 \wedge e_5) = \alpha_{3,8}, \ \beta(e_3 \wedge e_6) = -1$$
  

$$\beta(e_4 \wedge e_5) = 1$$

Note that  $\beta$  is non-degenerate. Computing determinants we obtain:

**Corollary 3.8.** If  $\lambda \in \mathcal{A}_{8,1}$  or  $\mathcal{A}_{8,3}$ , then  $\mathfrak{g}$  is not symplectic. If  $\lambda \in \mathcal{A}_{8,4}$ , then  $\mathfrak{g}$  is symplectic. If  $\lambda \in \mathcal{A}_{8,2}$ , then  $\mathfrak{g}$  is symplectic if and only if

$$\alpha_{2,5}\alpha_{3,7}(\alpha_{2,5} - \alpha_{3,7})(5\alpha_{3,7} - 2\alpha_{2,5}) \neq 0$$

Again using the classification list of [7] we obtain:

**Corollary 3.9.** Every 8-dimensional complex symplectic filiform Lie algebra is isomorphic to one of the following laws:

$$\begin{split} & \mu_8^5(\alpha) \colon \mu_0 + \alpha \psi_{2,5} + \psi_{3,7} + \psi_{3,8}, \quad \alpha \neq -\frac{1}{2}, 0, 1, \frac{5}{2} \\ & \mu_8^6(\alpha) \colon \mu_0 + \alpha \psi_{2,5} + \psi_{3,7}, \quad \alpha \neq -\frac{1}{2}, 0, 1, \frac{5}{2} \\ & \mu_8^9(\alpha) \colon \mu_0 + \alpha \psi_{2,6} + \psi_{2,7} + \psi_{3,8} \\ & \mu_8^{10}(\alpha) \colon \mu_0 + \alpha \psi_{2,6} + \psi_{3,8} \\ & \mu_8^{11}(0) \colon \mu_0 + \psi_{2,7} + \psi_{2,8} \\ & \mu_8^{15} \colon \mu_0 + \psi_{2,6} + \psi_{2,7} \\ & \mu_8^{16} \colon \mu_0 + \psi_{2,6} \\ & \mu_8^{17} \colon \mu_0 + \psi_{2,8} \\ & \mu_8^{19} \colon \mu_0 \end{split}$$

It is not difficult to compute the derivations of these algebras. This yields

**Corollary 3.10.** Every 8-dimensional complex symplectic filiform CNLA is isomorphic to one of the following laws:  $\mu_8^5(\alpha), \alpha \neq -\frac{1}{2}, 0, 1, \frac{5}{2}$ , or  $\mu_8^9(\alpha), \mu_8^{11}(0), \mu_8^{15}$ .

Let n = 10. Denote by  $\lambda \in \mathcal{A}_{10}$  the law of  $\mathfrak{g}$ .

Proposition 3.11. We have

$$H^{2}(\mathfrak{g},k) = \begin{cases} \operatorname{span}\{[\omega_{1}], [\omega_{2}], [\omega_{3}]\} & \text{if } \lambda \in \mathcal{A}_{10,1}, \mathcal{A}_{10,4} \text{ or } \mathcal{A}_{10,5} \\ \operatorname{span}\{[\omega_{1}], [\omega_{2}], [\omega_{3}], [\omega_{4}]\} & \text{if } \lambda \in \mathcal{A}_{10,2} \text{ or } \mathcal{A}_{10,8} \\ \operatorname{span}\{[\omega_{1}], [\omega_{2}], [\omega]\} & \text{if } \lambda \in \mathcal{A}_{10,3} \\ \operatorname{span}\{[\omega_{1}], [\omega_{2}], [\omega_{3}], [\beta_{1}]\} & \text{if } \lambda \in \mathcal{A}_{10,6} \\ \operatorname{span}\{[\omega_{1}], [\omega_{2}], [\omega_{3}], [\beta_{2}]\} & \text{if } \lambda \in \mathcal{A}_{10,7} \\ \operatorname{span}\{[\omega_{1}], [\omega_{2}], [\omega_{3}], [\omega_{4}], [\beta_{3}]\} & \text{if } \lambda \in \mathcal{A}_{10,9} \end{cases}$$

The cocycles  $\omega, \beta_1, \beta_2, \beta_3$  are too complicated to be listed here. Let p(x, y) denote the following polynomial

$$p(x,y) = (5y^3 - 8y^2x + 16yx^2 - 4x^3)(5y^3 - 16y^2x + 10yx^2 - 2x^3)$$
$$(5y^2 - 4yx + 2x^2)(7y - 4x)y$$

A straightforward computation of determinants yields the following result:

**Corollary 3.12.** A filiform Lie algebra with law in  $\mathcal{A}_{10,1}$ ,  $\mathcal{A}_{10,2}$ ,  $\mathcal{A}_{10,4}$ ,  $\mathcal{A}_{10,5}$ ,  $\mathcal{A}_{10,6}$ ,  $\mathcal{A}_{10,8}$  is not symplectic. Any filiform Lie algebra with law in  $\mathcal{A}_{10,9}$  is symplectic. An algebra with law in  $\mathcal{A}_{10,3}$  is symplectic if and only if  $p(\alpha_{2,5}, \alpha_{3,7}) \neq 0$ . An algebra with law in  $\mathcal{A}_{10,7}$  is symplectic if and only if  $f = 3\alpha_{4,10}(\alpha_{2,6} + \alpha_{3,8}) - 4\alpha_{3,8}^2 \neq 0$ .

Using the classification list of [7] we obtain:

**Corollary 3.13.** Every 10-dimensional complex symplectic filiform Lie algebra is isomorphic to one of the following laws:  $\mu_{10}^9(\alpha)$ ,  $\mu_{10}^{10}$ ,  $\mu_{10}^{11}$ ,  $\mu_{10}^{13}(\alpha,\beta)$ ,  $\mu_{10}^{16}(\alpha,\beta)$ ,  $\mu_{10}^{26}(0,\beta)$ ,  $\mu_{10}^{27}$ ,  $\mu_{10}^{29}(\alpha)$ ,  $\mu_{10}^{32}(-\frac{1}{2},\beta)$ ,  $\mu_{10}^{33}(-\frac{1}{2})$ ,  $\mu_{10}^{34}(-\frac{1}{2})$ ,  $\mu_{10}^{36}(0,\beta)$ ,  $\mu_{10}^{37}(\alpha)$ ,  $\mu_{10}^{39}(\alpha)$ ,  $\mu_{10}^{40}$ ,  $\mu_{10}^{41}$ ,  $\mu_{10}^{46}$ ,  $\mu_{10}^{47}$ ,  $\mu_{10}^{48}$ ,  $\mu_{10}^{49}$ ,  $\mu_{10}^{50}$ ,  $\mu_{10}^{51}$  or

$$\begin{array}{ll} \mu_{10}^{1}(\alpha,\beta): & (\alpha+2)(\alpha+1)(\alpha-1)p(1,\alpha)\neq 0 \\ \mu_{10}^{2}(\alpha,\beta): & (\alpha+2)(\alpha+1)(\alpha-1)p(1,\alpha)\neq 0 \\ \mu_{10}^{3}(\alpha): & (\alpha+2)(\alpha+1)(\alpha-1)p(1,\alpha)\neq 0 \\ \mu_{10}^{4}(\alpha): & (\alpha+2)(\alpha+1)(\alpha-1)p(1,\alpha)\neq 0 \\ \mu_{10}^{12}(\alpha,\beta): & \alpha\neq 0 \\ \mu_{10}^{17}(\alpha,\beta,\gamma): & (2\beta+1)(3\alpha+3\gamma-4\gamma^{2})\neq 0 \\ \mu_{10}^{18}(\alpha,\beta,\gamma,\delta): & (2\beta+1)(3\alpha+3\gamma-4\gamma^{2}=0 \\ \mu_{10}^{18}(\alpha,\beta,\gamma,\delta): & (2\beta+\delta)(3\alpha+3\gamma-4\gamma^{2})\neq 0 \\ \mu_{10}^{18}(\alpha,\beta,\gamma,\delta): & (2\beta+\delta)(3\alpha+3\gamma-4\gamma^{2}=\gamma(\gamma-6)=0 \\ \mu_{10}^{19}(\alpha,\beta): & 3\alpha+3\beta-4\beta^{2}\neq 0 \\ \mu_{10}^{20}(\alpha,\beta,\gamma): & (2\beta+\gamma)(6\alpha+1)\neq 0 \\ \mu_{10}^{20}(\alpha,\beta,\gamma): & (2\beta+\gamma)(6\alpha+1)\neq 0 \\ \mu_{10}^{21}(\alpha,\beta,\gamma): & \gamma(2\alpha+\beta)\neq 0 \\ \mu_{10}^{22}(\alpha,\beta,\gamma): & \gamma(2\alpha+\beta)\neq 0 \\ \mu_{10}^{23}(\alpha,\beta): & 3\alpha+3\beta-4\beta^{2}=0 \\ \mu_{10}^{24}(\alpha,\beta,\gamma): & 2\alpha+\beta=0, \alpha\neq 0 \\ \mu_{10}^{28}(\alpha,\beta): & 2\beta+1\neq 0 \\ \mu_{10}^{28}(\alpha,\beta): & 2\alpha+\beta=0 \end{array}$$

**Corollary 3.14.** Every 10-dimensional complex symplectic filiform CNLA is isomorphic to one of the following laws:  $\mu_{10}^9(\alpha)$ ,  $\mu_{10}^{10}$ ,  $\mu_{10}^{13}(\alpha,\beta)$ ,  $\mu_{10}^{16}(\alpha,\beta)$ ,  $\mu_{10}^{26}(0,\beta)$ ,  $\mu_{10}^{27}$ ,  $\mu_{10}^{29}(\alpha)$ ,  $\mu_{10}^{32}(-\frac{1}{2},\beta)$ ,  $\mu_{10}^{33}(-\frac{1}{2})$ ,  $\mu_{10}^{36}(0,\beta)$ ,  $\mu_{10}^{39}(\alpha)$ ,  $\mu_{10}^{40}$ ,  $\mu_{10}^{45}(\alpha)$ ,  $\mu_{10}^{46}$ ,  $\mu_{10}^{48}$  or

$$\begin{split} \mu_{10}^{1}(\alpha,\beta) &: \quad (\alpha+2)(\alpha+1)(\alpha-1)p(1,\alpha) \neq 0\\ \mu_{10}^{2}(\alpha,\beta) &: \quad (\alpha+2)(\alpha+1)(\alpha-1)p(1,\alpha) \neq 0\\ \mu_{10}^{3}(\alpha) &: \quad (\alpha+2)(\alpha+1)(\alpha-1)p(1,\alpha) \neq 0\\ \mu_{10}^{12}(\alpha,\beta) &: \quad \alpha \neq 0\\ \mu_{10}^{17}(\alpha,\beta,\gamma) &: \quad (2\beta+1)(3\alpha+3\gamma-4\gamma^{2}) \neq 0\\ \mu_{10}^{17}(\alpha,\beta,\gamma) &: \quad 2\beta+1 = 3\alpha+3\gamma-4\gamma^{2} = 0 \end{split}$$

$$\begin{split} \mu_{10}^{18}(\alpha,\beta,\gamma,\delta) &: \quad (2\beta+\delta)(3\alpha+3\gamma-4\gamma^2) \neq 0 \\ \mu_{10}^{18}(\alpha,\beta,\gamma,\delta) &: \quad 2\beta+\delta = 3\alpha+3\gamma-4\gamma^2 = \gamma(\gamma-6) = 0, \beta \neq 0 \\ \mu_{10}^{18}(\alpha,\beta,\gamma,\delta) &: \quad \alpha = 42, \beta = 0, \gamma = 6, \delta = 0 \\ \mu_{10}^{19}(\alpha,\beta) &: \quad 3\alpha+3\beta-4\beta^2 \neq 0 \\ \mu_{10}^{20}(\alpha,\beta,\gamma) &: \quad (2\beta+\gamma)(6\alpha+1) \neq 0 \\ \mu_{10}^{20}(\alpha,\beta,\gamma) &: \quad 2\beta+\gamma = 6\alpha+1 = 0 \\ \mu_{10}^{21}(\alpha,\beta,\gamma) &: \quad \gamma(2\alpha+\beta) \neq 0 \\ \mu_{10}^{22}(\alpha,\beta,\gamma) &: \quad \gamma(2\alpha+\beta) \neq 0 \\ \mu_{10}^{24}(\alpha,\beta,\gamma) &: \quad 2\alpha+\beta = 0, \alpha \neq 0 \\ \mu_{10}^{28}(\alpha,\beta) &: \quad 2\beta+1 \neq 0 \\ \mu_{10}^{31}(\alpha,\beta,\gamma) &: \quad 2\alpha+\beta = 0, \alpha \neq 0 \text{ or } \gamma \neq 0 \end{split}$$

# 4. Symplectic filiform CNLAs of dimension $n \ge 12$

For  $n \ge 12$  there is no classification of symplectic filiform Lie algebras. We will restrict ourselfs to certain families of filiform Lie algebras  $\mathfrak{g}$  of dimension  $n \ge 12$ . Consider the following conditions on  $\mathfrak{g}$ :

- (a)  $\mathfrak{g}$  contains no one-codimensional subspace  $U \supseteq \mathfrak{g}^1$  such that  $[U, \mathfrak{g}^1] \subseteq \mathfrak{g}^4$ .
- (b)  $\mathfrak{g}^{\frac{n-4}{2}}$  is abelian, if *n* is even.
- (c)  $[\mathfrak{g}^1, \mathfrak{g}^1] \subseteq \mathfrak{g}^6$ .

These properties are isomorphism invariants.

**Definition 4.1.** Let  $\mathcal{A}_n^1$  denote the set of *n*-dimensional filiform laws whose algebras satisfy the properties (a), (b), (c). Denote by  $\mathcal{A}_n^2$  the set of *n*-dimensional filiform laws whose algebras satisfy (a), (b), but not (c). Finally, for *n* even, denote by  $\mathcal{A}_n^3$  the set of *n*-dimensional filiform laws whose algebras satisfy (a) but not (b).

The above properties of  $\mathfrak{g}$  can be expressed in terms of the corresponding structure constants  $\alpha_{k,s}$ . It is easy to verify the following (use (4), (5)):

 $\alpha_{2,5} \neq 0$ , if and only  $\mathfrak{g}$  satisfies property (a).

 $\alpha_{\frac{n}{2},n} = 0$ , if and only if  $\mathfrak{g}$  satisfies property (b).

 $\alpha_{3,7} = 0$ , if and only if  $\mathfrak{g}$  satisfies property (c).

If  $\mathfrak{g}$  satisfies property (a) we may change the adpated basis so that it stays adapted and

 $\alpha_{2,5} = 1.$ 

In fact, we may take  $f \in GL(\mathfrak{g})$  defined by  $f(e_1) = ae_1, f(e_2) = be_2$  and  $f(e_i) = [f(e_1), f(e_{i-1})]$  for  $3 \leq i \leq n$  with suitable nonzero constants a and b.

**Proposition 4.2.** Suppose that  $\mathfrak{g}$  is a filiform Lie algebra of dimension  $n \geq 12$  satisfying properties (a), (b). Hence we may assume for its law  $\lambda \in \mathcal{A}_n$  that  $\alpha_{2,5} = 1$ , and  $\alpha_{\frac{n}{2},n} = 0$  if n is even. Then the Jacobi identity implies that

$$(\alpha_{3,7}, \alpha_{4,9}, \alpha_{5,11}) = \begin{cases} (0,0,0) & \text{if } \lambda \in \mathcal{A}_n^1\\ (\frac{1}{10}, \frac{1}{70}, \frac{1}{420}) & \text{if } \lambda \in \mathcal{A}_n^2 \end{cases}$$

*Proof.* Let  $(e_1, \ldots, e_n)$  be an adapted basis of  $\mathfrak{g}$ , the Lie brackets with respect to this basis being given by (4), (5). Let  $J(e_i, e_j, e_k) = 0$  denote the Jacobi identity with  $e_i, e_j, e_k$ . Let J(i, j, k, l) be the coefficient of  $e_l$  in  $J(e_i, e_j, e_k)$ . If  $n \ge 12$  then we have the conditions J(2, 3, 4, 9) = J(2, 4, 5, 11) = J(3, 4, 5, 12) = 0 which are given by the following equations:

$$\alpha_{4,9}(2 + \alpha_{3,7}) - 3\alpha_{3,7}^2 = 0$$
  
$$\alpha_{5,11}(2 - \alpha_{3,7} - \alpha_{4,9}) + 2\alpha_{4,9}(3\alpha_{4,9} - 2\alpha_{3,7}) = 0$$
  
$$3\alpha_{5,11}(\alpha_{3,7} + \alpha_{4,9}) - 4\alpha_{4,9}^2 = 0$$

It is not difficult to see that there are precisely two solutions:  $(\alpha_{3,7}, \alpha_{4,9}, \alpha_{5,11}) = (0, 0, 0)$  or  $(\alpha_{3,7}, \alpha_{4,9}, \alpha_{5,11}) = (\frac{1}{10}, \frac{1}{70}, \frac{1}{420})$ . Indeed, the first equation implies  $\alpha_{4,9} = 3\alpha_{3,7}^2/(2 + \alpha_{3,7})$ . If we substitute that into the other equations we obtain  $\alpha_{3,7}(10\alpha_{3,7} - 1) = 0$ .

**Proposition 4.3.** Let  $\mathfrak{g}$  be a filiform Lie algebra of dimension 12 with law  $\lambda \in \mathcal{A}_{12}$ . Then

$$H^{2}(\mathfrak{g},k) = \begin{cases} \operatorname{span}\{[\omega_{1}], [\omega_{2}], [\omega]\} & \text{if } \lambda \in \mathcal{A}_{12}^{1} \\ \operatorname{span}\{[\omega_{1}], [\omega_{2}], [\beta]\} & \text{if } \lambda \in \mathcal{A}_{12}^{2} \\ \operatorname{span}\{[\omega_{1}], [\omega_{2}]\} & \text{if } \lambda \in \mathcal{A}_{12}^{3} \end{cases}$$

If  $\lambda \in \mathcal{A}_{12}^2$  then  $\mathfrak{g}$  is symplectic since  $\det(\beta) \neq 0$ . In the other two cases  $\mathfrak{g}$  is not symplectic.

Proof. Let  $\lambda \in \mathcal{A}_{12}^1$  and the Lie brackets of  $\mathfrak{g}$  being given by (4), (5) with 21 scalars  $\alpha_{k,s}$ ,  $(k, s) \in \mathcal{I}_{12}$ . We have  $\alpha_{2,5} = 1$ ,  $\alpha_{3,7} = \alpha_{6,12} = 0$  and polynomial equations in the parameters  $\alpha_{k,s}$  given by the Jacobi identity. However since we use an adapted basis, these equations are quite simple. The Jacobi identity is satisfied if and only if

$$\alpha_{4,9} = \alpha_{4,10} = \alpha_{5,11} = \alpha_{5,12} = 0$$
  
$$\alpha_{4,11} = 2\alpha_{3,8}^2$$
  
$$\alpha_{4,12} = -\frac{1}{2} \left[ 3\alpha_{4,11} (\alpha_{2,6} + \alpha_{3,8}) - 9\alpha_{3,9} \alpha_{3,8} \right]$$

Hence the parameters  $\alpha_{2,6}, \ldots, \alpha_{2,12}$  and  $\alpha_{3,8}, \ldots, \alpha_{3,12}$  are arbitrary. Now a standard computation yields the second scalar cohomology as above. Here  $\omega$  is a 2-cocycle with

$$\omega(e_1 \wedge e_{12}) = 1$$
  

$$\omega(e_2 \wedge e_4) = \alpha_{2,12}$$
  

$$\omega(e_2 \wedge e_6) = \alpha_{2,10} - 2\alpha_{3,12}$$
  

$$\vdots \qquad = \qquad \vdots$$
  

$$\omega(e_4 \wedge e_7) = 2\alpha_{3,8}^2$$

It is easy to see that all linear combinations of  $\omega_1, \omega_2$  and  $\omega$  are degenerate. In fact, the vector  $(0, \ldots, 0, 1, 6\alpha_{3,8} - \alpha_{2,6}, 0)^t$  always belongs to the kernel of the representing matrix. Hence **g** is not symplectic. A similar computation is done for the other two cases.

**Corollary 4.4.** If  $\lambda \in \mathcal{A}_{12}^2$  such that  $200\alpha_{3,8} - 27\alpha_{2,6} \neq 0$  then  $\mathfrak{g}$  is a symplectic CNLA.

Remark 4.5. Let  $\lambda \in \mathcal{A}_{12}^2$ . Then  $\mathfrak{g}$  is always a CNLA, except for the case

$$\begin{aligned} \alpha_{3,8} &= 27\alpha_{2,6}/200, \\ \alpha_{3,9} &= (4000\alpha_{2,7} + 243\alpha_{2,6}^2)/28000 \\ \alpha_{3,10} &= (560000\alpha_{2,8} + 100000\alpha_{2,6}\alpha_{2,7} - 30213\alpha_{2,6}^3)/3920000 \\ \alpha_{3,11} &= f(\alpha_{2,6}, \dots, \alpha_{2,9}) \\ \alpha_{3,12} &= g(\alpha_{2,6}, \dots, \alpha_{2,10}) \end{aligned}$$

with certain polynomials  $f, g \in \mathbb{Q}[\alpha_{2,6}, \ldots, \alpha_{2,10}].$ 

For  $\lambda \in \mathcal{A}_n^2$ ,  $n \geq 13$  we have two different cases for the cohomology. Denote by  $\mathcal{A}_{n,1}^2$  the subset of laws satisfying  $\alpha_{3,n-4} = P_n(\alpha_{k,s})$ , where  $P_n$  is a certain polynomial with rational coefficients in the variables  $\alpha_{k,s}$  where  $k = 2, 6 \leq s \leq n$  and  $k = 3, n-4 \leq s \leq n$ . Denote by  $\mathcal{A}_{n,1}^2$  the subset of laws which do not satisfy this polynomial equation. For n = 14 the polynomial  $P_{14}$  is given by:

$$P_{14} = (482832810500a_{3,8}^3 - 157196008500a_{3,8}^2a_{2,6} + 2223828750a_{3,8}a_{2,7} + 16180336845a_{3,8}a_{2,6}^2 + 186801615a_{2,8} - 266859450a_{2,7}a_{2,6} - 517476276a_{2,6}^3)/1307611305$$

**Proposition 4.6.** Let  $\mathfrak{g}$  be a filiform Lie algebra of dimension 14 with law  $\lambda \in \mathcal{A}_{14}$ . Then

$$H^{2}(\mathfrak{g},k) = \begin{cases} \operatorname{span}\{[\omega_{1}], [\omega_{2}], [\omega]\} & \text{if } \lambda \in \mathcal{A}_{14}^{1} \\ \operatorname{span}\{[\omega_{1}], [\omega_{2}], [\beta]\} & \text{if } \lambda \in \mathcal{A}_{14,1}^{2} \\ \operatorname{span}\{[\omega_{1}], [\omega_{2}]\} & \text{if } \lambda \in \mathcal{A}_{14,2}^{2} \end{cases}$$

If  $\lambda \in \mathcal{A}_{14,1}^2$  then  $\mathfrak{g}$  is symplectic since  $\det(\beta) \neq 0$ . In the other two cases  $\mathfrak{g}$  is not symplectic.

**Corollary 4.7.** If  $\lambda \in \mathcal{A}^2_{14,1}$  such that  $200\alpha_{3,8} - 27\alpha_{2,6} \neq 0$  then  $\mathfrak{g}$  is a symplectic CNLA.

Remark 4.8. The result generalizes to higher dimensions. The cohomology has dimension 2 or 3 and only the filiform algebras  $\mathfrak{g}$  with law  $\lambda \in \mathcal{A}_{n,1}^2$  are symplectic. It is easy to see that the algebras  $\mathfrak{g}$  with law  $\lambda \in \mathcal{A}_n^1$ ,  $n \geq 12$  are not symplectic, since  $(0, \ldots, 0, 1, (n-6)\alpha_{3,8} - \alpha_{2,6}, 0)^t$  lies in the kernel of the matrix associated to every  $\omega \in Z^2(\mathfrak{g}, k)$ . The algebras with law  $\lambda \in \mathcal{A}_{n,1}^2$  are CLNAs except for the case where  $\alpha_{3,k}$  are given by certain polynomials in  $\alpha_{2,l}$  with rational coefficients.

Remark 4.9. The knowledge of  $H^2(\mathfrak{g}, k)$  can also be used to determine affine filiform Lie algebras. If there exists a non-degenerate  $\omega \in Z^2(\mathfrak{g}, k)$  then  $\mathfrak{g}$  is symplectic, hence affine. However, it is enough to find an affine class  $[\omega] \in H^2(\mathfrak{g}, k)$  to ensure that  $\mathfrak{g}$  is affine, see [1]. It is well known that all complex filiform Lie algebras of dimension n < 10 are affine. In dimension 10 however, there exist filiform algebras which are not affine. It turns out that a law  $\lambda$  in  $\mathcal{A}_{10,1}$  or

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 $\mathcal{A}_{10,4}$  is not affine, if it belongs to a certain irreducible component of the variety of all nilpotent Lie algebra laws of dimension 10, such that the Lie algebra  $\mathfrak{g}/\mathbb{Z}_2(\mathfrak{g})$  is characteristically nilpotent. Here  $\mathbb{Z}_2(\mathfrak{g})$  denotes the second center of  $\mathfrak{g}$ .

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